# RESEARCH ARTICLE

# Longitudinal Free-Water Changes in Dementia with Lewy Bodies

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ABSTRACT: Background: Diffusion-weighted magnetic resonance imaging (dMRI) examines tissue microstructure integrity in vivo. Prior dementia with Lewy bodies (DLB) diffusion tensor imaging studies yielded mixed results.

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**Objective:** We employed free-water (FW) imaging to assess DLB progression and correlate with clinical decline in DLB. **Methods:** Baseline and follow-up MRIs were obtained at 12 and/or 24 months for 27 individuals with DLB or mild

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cognitive impairment with Lewy bodies (MCI-LB). FW was analyzed using the Mayo Clinic Adult Lifespan Template. Primary outcomes were FW differences between baseline and 12 or 24 months. To compare FW change longitudinally, we included 20 cognitively unimpaired individuals from the Alzheimer's Disease Neuroimaging Initiative. Results: We followed 23 participants to 12 months and 16 participants to 24 months. Both groups had worsening in Montreal Cognitive Assessment (MoCA) and Movement Disorder Society-Unified Parkinson's Disease Rating Scale (MDS-UPDRS) scores. We found significant FW increases at both time points compared to baseline in the insula. amvadala, posterior cinqulum, parahippocampal, entorhinal, supramarginal, fusiform, retrosplenial, and Rolandic operculum regions. At 24 months, we found more widespread microstructural changes in regions implicated in

Dementia with Lewy bodies (DLB) is a common cause of late-onset neurodegenerative dementia, accounting for 15–20% of autopsy-confirmed dementias.<sup>1,2</sup> Together with Parkinson disease (PD), DLB is a Lewy body disease caused by abnormal aggregation of  $\alpha$ -synuclein in Lewy bodies and neurites. Individuals with DLB present with dementia, rapid eye movement sleep behavior disorder (RBD), cognitive fluctuations, visual hallucinations, and/or parkinsonism.<sup>2</sup> Mild cognitive impairment (MCI) can precede the dementia of DLB, termed MCI-LB.<sup>3</sup> Executive MCI and hippocampal preservation on MRI are strong predictors of progression from MCI-LB to probable DLB (vs. Alzheimer's disease [AD]).<sup>4,5</sup>

Diffusion magnetic resonance imaging (dMRI) is a promising, non-invasive, in vivo method to evaluate tissue integrity changes in neurodegenerative disorders, especially when volumetric changes are not yet detectable in early disease stages.<sup>6-9</sup> dMRI assesses tissue integrity based on water diffusion changes within the brain, which can be influenced by pathology affecting axons, myelin, cerebrospinal fluid (CSF), neuronal soma, and dendrites. Water diffusion in the brain can be isotropic (ie, equal diffusion in all directions as in CSF and gray matter) or anisotropic (ie, unidirectional diffusion as in white matter).<sup>10</sup> Most dMRI studies in DLB used a diffusion tensor imaging (DTI) approach to evaluate white matter microstructure. Fractional anisotropy (FA, quantifying anisotropic diffusion) and mean diffusivity (MD, quantifying total diffusivity) are common diffusion measures in these studies.

Prior DTI studies in DLB have variable results.<sup>11</sup> Some found widespread disruptions involving frontal, temporal, insular, cingulate, parietal, occipital, callosal, and visual association areas.<sup>12,13</sup> Others reported more localized disruptions in parietal and occipital regions with sparing of frontal regions.<sup>14,15</sup> Several studies reported consistent disruptions of the inferior longitudinal, uncinate, superior longitudinal, and inferior fronto-occipital visuospatial processing, motor, and cholinergic functions. Between-group analyses (DLB vs. controls) confirmed significant FW changes over 24 months in most of these regions. FW changes were associated with longitudinal worsening of MDS-UPDRS and MoCA scores.

**Conclusions:** FW increased in gray and white matter regions in DLB, likely due to neurodegenerative pathology associated with disease progression. FW change was associated with clinical decline. The findings support dMRI as a promising tool to track disease progression in DLB. © 2024 International Parkinson and Movement Disorder Society.

Key Words: dementia with Lewy bodies; diffusionweighted imaging; Lewy body disease; magnetic resonance imaging

fasciculi implicated in disruptions of visual association pathways in DLB.<sup>16-20</sup>

In the only prior longitudinal DLB dMRI study, patients with DLB, AD, and healthy controls were followed for 1 year.<sup>21</sup> The study found no longitudinal change in MD or FA in patients with DLB compared to controls.

The variable results in DLB from prior dMRI studies could relate to known limitations of the conventional DTI approach and different analyses used to assess structural connectivity. DTI does not consider non-Gaussian diffusion properties in certain brain tissue compartments (eg, cell membrane and myelin sheath) or volumetric effects from extracellular free-water (FW) (eg, CSF).<sup>22</sup> DTI shortcomings include its inability to evaluate microstructural changes in gray matter, leading to inaccurate diffusion measures at the gray-white matter boundaries due to presence of extracellular FW.<sup>6,22</sup> Other considerations are the variable use of manual region of interest (ROI) selections,<sup>12</sup> tract-specific method,<sup>17</sup> voxel-based approaches,<sup>13</sup> probabilistic tractography,<sup>23</sup> or tract-based spatial statistics.<sup>14</sup>

Here, we applied a robust and established dMRI analysis technique with FW mapping, a two-compartment model that explicitly separates extracellular diffusing water from brain tissue. We previously showed FW to be a viable marker of tracking disease progression in parkinsonian disorders and AD.<sup>9,24,25</sup> For example, studies showed elevated FW within the posterior substantia nigra (SN) in PD, compared to more widespread networks in patients with atypical parkinsonian syndromes.<sup>8,25</sup> FW increases longitudinally in the posterior SN in PD, but not controls, further supporting FW as a promising progression marker.<sup>24</sup> FW increases are linked to neuroinflammation and myelin changes in neurodegenerative disorders.<sup>26</sup> We applied a FW dMRI technique to better characterize diffusion changes in DLB and hypothesized that FW would be elevated in affected regions in DLB. We investigated longitudinal FW changes in individuals with DLB and cognitively healthy adults, and evaluated cognitive and motor markers of clinical progression in relation to dMRI.

## Methods

### Participants

Participants met criteria for probable MCI-LB<sup>3</sup> or DLB<sup>2</sup> and were enrolled in the single-center Mayo Clinic Longitudinal Imaging Biomarkers of DLB program (Rochester, MN, USA; enrolled 6/2018–3/2022). Participants with DLB/MCI-LB were also recruited as part of the Mayo Clinic Alzheimer Disease Research Center. Clinical diagnosis was determined by consensus conference. Participants meeting criteria for MCI-LB<sup>3</sup> had MCI and at least one core clinical feature (ie, parkinsonism, fluctuations, visual hallucinations, RBD).<sup>3</sup> Participants with DLB met probable DLB criteria from the Fourth Consortium Criteria.<sup>2</sup> Participants were followed with at least two clinical evaluations with MRI at baseline and 12 and/or 24 months.

A cohort of cognitively unimpaired individuals was included from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database (http://adniloni.usc.edu) for comparative analyses. ADNI was launched in 2003 as a public-private partnership. ADNI's primary goal is testing whether serial MRI, positron emission tomography (PET), other biological markers, clinical, and neuropsychological assessment can be combined to measure MCI progression and early AD. Controls from ADNI were matched to DLB/MCI-LB participants based on age, sex, and common cardiovascular comorbidities. Controls were included only if they had baseline MRI with follow-up at  $\geq$ 24 months (range 2–3 years).

## **Clinical Evaluation**

Participants with DLB/MCI-LB underwent comprehensive evaluations.<sup>27</sup> Clinical features of DLB were assessed via history and validated scales. Cognitive fluctuations were assessed using the four-item Mayo Fluctuations Scale.<sup>28</sup> Visual hallucinations were fully formed occurrences, and not restricted to a single episode or related to other medical issue/treatment. History of probable RBD was based on the International Classification of Sleep Disorders-II diagnostic criteria.<sup>29</sup> Parkinsonism was based on neurologic examination, and the Movement Disorder Society-Unified Parkinson's Disease Rating Scale (MDS-UPDRS).<sup>30</sup> The Montreal Cognitive Assessment (MoCA) total score assessed global cognition.

## Standard Protocol Approvals, Registrations, and Patient Consents

All participants with DLB/MCI-LB and/or their proxies provided informed consent. The Mayo Clinic Institutional Review Board (IRB) approved the study procedures (IRB ID# 17–011339). Data for cognitively unimpaired individuals from ADNI are publicly available as part of a multisite longitudinal biomarker research program, approved by IRBs at all participating locations.

## dMRI Acquisition

All participants with DLB/MCI-LB underwent 3 T MRI with a 64-channel phased array head coil (Siemens). Diffusion scans were acquired with the following parameters: TR = 3400-4300 ms, TE = 71-99 ms, multi-shell acquisition (b-value = 0, 500, 1000, 2000 mm<sup>2</sup>/s), angular resolution of 108 directions, in-plane voxel size of 2-2.26 mm in the x-dimension and 22.26 mm in the v-dimension, and slice thickness = 2 mm. We extracted the imaging data with b-value = 0, 1000 for FW imaging analyses using the algorithm from prior work<sup>31</sup>; two shells were used to harmonize analyses for DLB and controls (as non-multi-shell images were obtained prior to ADNI3). MRIs for controls were obtained from the ADNI databases Phase 2 and 3 (adni.loni.usc.edu). Axial diffusion weighted images (with or without multiband) were acquired on 3 T scanners (Siemens = 18, GE = 4, Philip = 18) with a whole-brain echo planar sequence with the following parameters: gradient directions = 31-61, b-value = 1000, TR = 3400–16,700 ms, TE = 55–105 ms, in-plane voxel size of 0.9-2.7 mm in x-dimension and 0.9-2.7 mm in y-dimension, and slice thickness = 2 or 2.7 mm (no gap).

## dMRI Data Analysis

The primary outcome was FW across 122 ROIs. dMRIs were processed with image processing tools from the FMRIB Software Library (FSL<sup>32,33</sup>), Advance Normalization Tools (ANTs),<sup>34</sup> and custom UNIX shell scripts. The dMRI processing pipeline was completely automated, and results were consistent with prior work.<sup>8,9</sup> The normalization and image processing pipeline used was previously validated in the Appendix from the Archer et al. study.<sup>8</sup> Scans were corrected for distortions due to eddy currents and head motion with affine transformations.<sup>33</sup> The gradient directions were subsequently rotated to reflect these corrections, and non-brain tissue regions were removed from the scans.<sup>32</sup> DTIFIT was used to calculate b0 and FA images. Intermediate outputs were visually inspected to ensure absence of structural abnormalities (eg, stroke, tumor) or any anomalies with generated FW maps, such as poor normalization.<sup>8</sup> FW maps were calculated using custom written MATLAB R2020b

(The Mathworks) codes.<sup>24,31</sup> This code implemented a minimization procedure that fits a bi-tensor model, quantifying the fractional volume of FW in each voxel (ie, FW maps), as previously described.<sup>24</sup> The bi-tensor model predicts the signal attenuation in the presence of FW contamination. It is the sum of attenuations from two compartments: one modeling FW, and a second tissue compartment modeling water molecules in the vicinity of tissue membranes.<sup>24</sup> For the normalization pipeline, we used rigid alignment and Symmetric Normalization (SyN) in ANTs software using a FA map as the template image. which was created by averaging 100 Human Connectome Project (HCP) (http://www.humanconnectomeproject.org) subjects in Montreal Neurological Institute (MNI) space. The FA map image was linearly registered to a mean HCP FA template, followed by a nonlinear transformation using SyN. The implementation of this technique is consistent with prior work<sup>7-9,24</sup> and is validated in the Appendix from the Archer et al. study.<sup>8</sup>

### ROIs

ROIs included gray and white matter, and were created based on the atlas from the Mayo Clinic Adult Lifespan Template (MCALT; https://www.nitrc.org/projects/mcalt/).<sup>35</sup> The MCALT was specifically designed for analysis of MRIs of adults aged 30+ years. Recent studies on neurodegenerative disorders, including DLB, have used the MCALT.<sup>36,37</sup> Diffusion measures were calculated separately for left and right hemispheric ROIs in the MCALT template.

### Statistical Methods

Longitudinal change for demographic and clinical variables was assessed with Pearson's  $\chi^2$ , independent, or paired *t*-tests as appropriate. Mean change from baseline to follow-up in FW maps for participants with DLB/MCI-LB was calculated for each ROI using paired t-tests. Significant ROIs were corrected for multiple comparisons using the false discovery rate (FDR) method<sup>38</sup> ( $p_{fdr} < 0.05$ ). To assess FW change longitudinally in cognitively unimpaired individuals, we applied paired t-tests and within-subject ANCOVA with repeated measures controlling for imaging site. We then conducted a one-way ANCOVA to identify ROIs with significant differences between DLB/MCI-LB and controls based on FW change over 2 years, controlling for age, baseline FW, and imaging site. We selected the one-way ANCOVA model as it accounts for the study design with multisite controls and relies on the computed FW change to focus on longitudinal change. Secondary analyses with linear regressions were performed to determine the association of longitudinal change in diffusion measures and baseline FW, with clinical disease progression based on change in MoCA and MDS-UPDRS scores. Only the regions with significant changes in diffusion measures for FW (at 12 or 24 months follow-up in DLB/MCI-LB) were entered in forward linear regression models. Statistical analyses were performed in IBM SPSS version 28.0.

## **Results**

### **Demographics and Clinical Assessment**

Twenty-three individuals (n = 3 MCI-LB, n = 20 DLB; mean age 69.3  $\pm$  9.5 years; 95% male) completed evaluations at baseline and 12 months. Sixteen individuals (n = 2 MCI-LB, n = 14 DLB; mean age 67.5  $\pm$  9.3 years; 100% male) completed evaluations at baseline and 24 months (Table 1). Twelve participants had follow-ups at 12 and 24 months. Twenty cognitive unimpaired individuals (mean age 70.2  $\pm$  2.1 years; 100% male) completed evaluations at baseline and  $\geq$  24 months (Table 1).

MoCA and MDS-UPDRS (Total, Part III) scores significantly declined from baseline to 12 months and 24 months in the DLB/MCI-LB cohort (all P < 0.05; Table 2). Hoehn and Yahr stage did not differ for either interval. MoCA scores did not change significantly in controls (Table 2).

## FW Imaging Changes at 12 Months in DLB/MCI-LB

FW increased from baseline to 12 months for participants with DLB/MCI-LB in the left pallidum, left amygdala, left entorhinal cortex, bilateral insula, bilateral posterior cingula, left parahippocampus, bilateral Rolandic operculum, left fusiform, right retrosplenial cortex, right lingual gyrus, and right supramarginal gyrus (all  $p_{fdr} < 0.05$ ) (Table 3).

# Greater Network of FW Imaging Changes at 24 Months in DLB/MCI-LB

Apart from the right lingual gyrus and left pallidum, the same ROIs that showed FW increases in DLB/MCI-LB from baseline to 12 months were also identified at 24 months. Specifically, the left amygdala, bilateral posterior cingula, left entorhinal cortex, left parahippocampus, bilateral insula, left fusiform, right retrosplenial cortex, bilateral Rolandic operculum, and right supramarginal gyrus were associated with significant FW increases from baseline to 24 months ( $p_{fdr} < 0.05$ ) (Table 3, Fig. 1). Additional ROIs had significant FW increases at 24 months only: anterior and posterior SN, pallidum, putamen, mid cingulum, hippocampus, inferior frontal, superior temporal, and thalamus regions (Table 3, Fig. 2).

In cognitively unimpaired individuals, there were no significant changes surviving FDR correction in any ROI (baseline to  $\geq 24$  months) (Table S1). There was no significant effect of imaging site. To compare

### **TABLE 1** Patient characteristics<sup>a</sup>

Characteristic	DLB with 12 months follow-up (n = 23)	DLB with 24 months follow-up (n = 16)	Cognitively unimpaired with ≥24 months follow-up (n = 20)
Age at baseline visit, y (SD)	69.3 (9.5)	67.5 (9.3)	70.2 (2.1)
Male, n (%)	22 (96)	16 (100)	20 (100%)
Education, y (SD)	15.6 (4.0)	15.5 (3.4)	16.6 (2.0)
Cholinesterase inhibitor, n (%)	14 (61)	8 (50)	N/A
Levodopa use at baseline and/or follow-up, n (%)	10 (43.4)	8 (50)	N/A
Primary clinical diagnosis, n (%)			
MCI-LB <sup>b,c</sup>	3 (13)	2 (13)	N/A
DLB	20 (87)	14 (87)	N/A
Medical comorbidities, n (%) <sup>d</sup>			
History of smoking	6 (26.1)	2 (12.5)	0
Hypertension	5 (21.7)	4 (25)	8 (40)
Hyperlipidemia	9 (39.1)	4 (25)	4 (20)
History of stroke	1 (4.3)	0	0

Abbreviations: DLB, dementia with Lewy bodies; y, years; SD, standard deviation; N/A, not applicable; MCI-LB, mild cognitive impairment with Lewy bodies.

<sup>a</sup>Twelve patients had follow-up at both 12 months and 24 months. Most participants were non-Hispanic (91% in 12-month cohort; 100% in 24-month cohort), and White (100% in 12-month cohort; 94% in 24-month cohort). All cognitively unimpaired individuals were non-Hispanic and White.

 $^{(100\%)}$  in 12-month conort, 94% in 2+month conort, 84% ognitevely unimparted metviduals were non-rispant and write. <sup>b</sup>Additional demographic/clinical information for subjects with MCI-LB with 12 months follow-up (n = 3): mean age 62 ± 15 years, 100% male, mean education 15.3 ± 3.1 years, 100% taking cholinesterase inhibitor, 0% taking levodopa, mean baseline total Montreal Cognitive Assessment (MoCA) score 20 ± 7, mean baseline total Movement Disorder Society-Unified Parkinson's Disease Rating Scale (MDS-UPDRS) score 40 ± 13.

<sup>6</sup>Additional demographic/clinical information for subjects with MCI-LB with 24 months follow-up (n = 2): mean age  $80 \pm 7$  years, 100% male, mean education 15.0  $\pm$  4.2 years, 50% taking cholinesterase inhibitor, 50% taking levodopa, mean baseline total MoCA score 17  $\pm$  4, mean baseline total MDS-UPDRS score 36 (missing baseline MDS-UPDRS score for 1 subject).

<sup>d</sup>Cognitively unimpaired individuals from the Alzheimer's Disease Neuroimaging Initiative (ADNI) were matched to DLB/MCI-LB participants (24 months) based on age, sex, and common cardiovascular comorbidities (eg, history of smoking, hypertension, hyperlipidemia, and prior stroke; all *P* > 0.05).

Clinical scale	Baseline	DLB/MCI-LB at 12 months (n = 23)	<i>P</i> -value	Baseline	DLB/MCI-LB at 24 months (n = 16)	<i>P</i> -value	Baseline	Cognitively unimpaired with $\geq$ 24 months follow-up (n = 20)	<i>P</i> -value
MoCA, total (SD)	18.3 (5.5)	16.7 (6.0)	0.038	18.1 (4.4)	14.7 (6.8)	0.008	26.2 (2.2)	26.3 (2.7)	0.45
MDS-UPDRS, total (SD)	40.6 (22.9)	51.5 (27.6)	0.014	38.9 (23.2)	50.3 (17.7)	0.037	N/A	N/A	N/A
MDS-UPDRS- Part III (SD)	20.4 (13.1)	24.8 (15.5)	0.049	19.3 (13.0)	27.0 (12.9)	0.004	N/A	N/A	N/A
Hoehn & Yahr, score (SD)	1.5 (1.1)	2.0 (1.0)	0.069	1.4 (1.1)	1.9 (1.0)	0.14	N/A	N/A	N/A

### **TABLE 2** Clinical changes from baseline to 12 or 24 months

Note: Bold indicates denotes statistical significance.

Abbreviations: DLB/MCI-LB, dementia with Lewy bodies/mild cognitive impairment with Lewy bodies; MoCA, Montreal Cognitive Assessment; SD, standard deviation; MDS-UPDRS, Movement Disorder Society-Sponsored Revision of the Unified Parkinson's Disease Rating Scale; N/A, not applicable.

FW change longitudinally between DLB/MCI-LB and control groups, we focused on ROIs with significant FW change identified in DLB/MCI-LB from baseline to 24 months. Many of the same ROIs showed significant effect of diagnosis on FW change after controlling for age, baseline FW, and imaging site (Table S2). These include middle and posterior cingula, inferior frontal, insula, parahippocampus, supramarginal, and Rolandic

#### **TABLE 3**Free-water changes over 12 to 24 months

Significant ROIs at 12 and 24 months	Change in FW: 12 months–baseline (mean, SD)	FDR-corrected <i>P</i> -value	Change in FW: 24 months-baseline (mean, SD)	FDR-corrected <i>P</i> -value
Amygdala_L	0.011 (0.015)	0.026	0.025 (0.021)	0.004
Cingulum_Post_L	0.012 (0.015)	0.022	0.021 (0.012)	<0.001
Cingulum_Post_R	0.010 (0.013)	0.026	0.021 (0.018)	0.004
Entorhinal_Cortex_L	0.017 (0.027)	0.050	0.022 (0.027)	0.023
Parahippocampus_L	0.009 (0.012)	0.022	0.022 (0.022)	0.011
Insula_L	0.007 (0.009)	0.022	0.014 (0.015)	0.011
Insula_R	0.008 (0.010)	0.022	0.019 (0.017)	0.006
Fusiform_L	0.007 (0.010)	0.026	0.016 (0.017)	0.012
Retrosplenial_Cortex_R	0.007 (0.008)	0.022	0.021 (0.016)	0.004
Rolandic_Oper_L	0.013 (0.010)	<0.001	0.025 (0.026)	0.011
Rolandic_Oper_R	0.010 (0.013)	0.022	0.022 (0.019)	0.005
Supramarginal_R	0.005 (0.008)	0.049	0.012 (0.013)	0.011
Significant ROIs at 24 months only	24 n	Change in FW: nonths-baseline (mean, S	SD)	FDR-corrected <i>P</i> -value
SN_Ant		0.024 (0.022)		0.007
SN_Post		0.029 (0.024)		0.004
Putamen_R		0.015 (0.017)		0.022
Pallidum_R		0.024 (0.032)		0.041
Amygdala_R		0.020 (0.024)		0.022
Cingulum_Mid_L		0.025 (0.01)		<0.001
Cingulum_Mid_R		0.014 (0.010)		0.001
Hippocampus_L		0.016 (0.018)		0.019
Hippocampus_R		0.016 (0.013)		0.004
Parahippocampus_R		0.018 (0.020)		0.017
Temp_Sup_R		0.018 (0.021)		0.020
Heschl_R		0.014 (0.016)		0.020
Frontal_Inf_Oper_L		0.016 (0.021)		0.035
Frontal_Inf_Orb_R		0.016 (0.020)		0.026
Fusiform_R		0.013 (0.016)		0.024
Precuneus_R		0.016 (0.016)		0.011
Retrosplenial_Cortex_L		0.022 (0.018)		0.004
Thalamus_R		0.011 (0.0112)		0.012

*Note:* There were two regions with significant FW change from baseline to 12 months (right lingual gyrus with mean FW change  $0.010 \pm 0.015$  [pFDR = 0.040] and left pallidum with mean FW change  $0.009 \pm 0.012$  [pFDR = 0.022]), but no corresponding significant FW change from baseline to 24 months. Bold indicates denotes statistical significance.

Abbreviations: ROI, region of interest; FW, free-water; SD, standard deviation; FDR, false discovery rate; L, left; Post, posterior; R, right; Oper, operculum; SN, substantia nigra; Ant, anterior; Mid, middle; Sup, superior; Inf, inferior; Orb, orbital.

operculum regions. Regions with significant FW change at 12 and/or 24 months in DLB/MCI-LB that did not exhibit significant group effect included the SN, amygdala,

hippocampus, putamen, pallidum, fusiform, retrosplenial, superior temporal, thalamus, and precuneus (Tables 3 and S2).



FIG. 1. (A–L): Regions of interest (ROIs) in dementia with Lewy bodies/mild cognitive impairment with Lewy bodies (DLB/MCI-LB) with significant increase in free-water (FW) from baseline to 12 (blue) and 24 months (orange). For each significant ROI, the corresponding plot on the left shows mean FW changes for each follow-up time point with standard error bars; and the corresponding plot on the right shows FW changes for each participant from baseline to 12 and 24 months. [Color figure can be viewed at wileyonlinelibrary.com]

### Changes in Diffusion Measures Associated with DLB Clinical Progression

Exploratory forward linear regression analyses showed FW changes associated with clinical progression at 12 and 24 months in participants with DLB/MCI-LB. FW change was associated with a change in MDS-UPDRS total score from baseline to 12 months, with the final model including right insula (adjusted  $R^2 = 22.2\%$ , P = 0.024). Change in FW was also associated with a change in MDS-UPDRS total score from baseline to 24 months, with the final model including right amygdala, anterior SN, left fusiform, and left inferior frontal operculum (adjusted  $R^2 = 93.5\%$ , P = 0.003). FW change was associated with a change in MDS-UPDRS-Part III motor score from baseline to 24 months only, with the final model including the right amygdala (adjusted  $R^2 = 0.679$ , P < 0.001). FW change was associated with a change in MoCA score from baseline to 24 months only, with the final model including the left inferior frontal operculum (adjusted  $R^2 = 42.6\%$ , P = 0.004).

When evaluating potential associations between baseline FW and longitudinal clinical progression in DLB/MCI-LB, baseline FW was associated with a change in total MoCA score from baseline to 12 months only, with the final model including right posterior cingulum (adjusted  $R^2 = 22.4\%$ , P = 0.026). Baseline FW was associated with change in MDS-UPDRS-Part III motor from baseline to 24 months only, with the final model including left inferior frontal operculum, right Heschl gyrus, and posterior SN (adjusted  $R^2 = 78.7\%$ , P = 0.017).

## Discussion

We found significant longitudinal FW increases in 12 ROIs at both 12 and 24 months' follow-up in individuals with DLB/MCI-LB. Additionally, we saw more ROIs with significant FW changes at 24 months, not detected at 12 months' follow-up. Many of these regions showed significant group effect at 24 months when comparing DLB/MCI-LB versus controls. We also found FW changes, and baseline FW, from selected ROIs in DLB/MCI-LB that were associated with clinical progression in MoCA and MDS-UPDRS scores. Together, these results provide evidence of longitudinal microstructural changes in DLB, seen as early as the 12 month follow-up. Furthermore, these diffusion changes are associated with cognitive and motor decline over 2 years.

Our results differ from the prior DTI-based longitudinal DLB study by Firbank et al.<sup>21</sup> where no MD or FA white matter changes were seen over 12 months. Notably, our study differs in study duration (24 vs. 12 months),



FIG. 2. T1-structural magnetic resonance imaging (MRI) (axial) showing larger network of regions of interest (ROIs) in dementia with Lewy bodies/mild cognitive impairment with Lewy bodies (DLB/MCI-LB) identified with significant free-water (FW) increases at 24 months (3D paired *t*-test for baseline to 24 months, right), compared to 12 months (3D paired *t*-test for baseline to 12 months, left). Overlay is displaying the mean difference in FW at P < 0.001 (uncorrected). The coordinate system is in Montreal Neurological Institute (MNI) space (with a left posterior inferior orientation). Consistent longitudinal FW increases at both time points were seen in amygdala, posterior cingulum, entorhinal cortex, parahippocampus, insula, fusiform, retrosplenial cortex, Rolandic operculum, and supramarginal gyrus regions. At 24 months, additional FW increases were seen in the pallidum, putamen, mid cingulum, hippocampus, inferior frontal, superior temporal, and thalamus regions. [Color figure can be viewed at wileyonlinelibrary.com]

approach (bi-tensor model vs. DTI), analysis (our custom dMRI pipelines<sup>8,9,24</sup> and MCALT use vs. tract-based spatial statistics), and primary outcome measures (FW vs. MD, FA). Considering that partial volume effects from extracellular FW can contaminate DTI analysis of microstructural changes, our FW approach may be more sensitive in detecting subtle microstructural changes involving gray matter regions.<sup>39</sup>

The consistent FW increases in DLB/MCI-LB cohorts involving the insula, amygdala, posterior cingulum, parahippocampal, entorhinal, supramarginal, fusiform, retrosplenial, and Rolandic operculum regions at both 12 and 24 months' follow-up suggest that microstructural changes may occur in these regions earlier in the disease course of DLB. Except for the amygdala, retrosplenial cortices, and fusiform, all the aforementioned regions were significant in both within-group (DLB/MCI-LB) and between-group models (DLB/MCI-LB vs. controls, baseline to 24 months). While the exact biological underpinnings of FW are still under investigation, FW changes in parahippocampal gyrus, amygdala, and cingulate gyrus align with prior DLB neuropathological studies showing increased  $\alpha$ -synuclein burden in these limbic regions.<sup>40</sup> Similarly, early FW changes involving occipitotemporal and inferior temporal regions may correspond to underlying  $\alpha$ -synuclein burden in neocortical regions of patients with DLB.<sup>40</sup>

From prior structural MRI studies, insular atrophy is consistently reported in prodromal DLB.41 Cortical thinning in the right anterior insula may be a potential marker for early DLB42 given its involvement in integrating autonomic, somatosensory, and cognitive information to guide behavior<sup>43</sup> (ie, relevant to cognitive slowing and attentional deficits in DLB). Significant FW increases in parahippocampal regions with earlier disease course is consistent with pathology studies showing disproportionate involvement of this region.<sup>40</sup> A prior study showed association of cortical thinning of parahippocampal and temporal pole regions with cognitive measures in DLB, but not AD.<sup>44</sup> Particularly relevant in DLB, early parahippocampal involvement may relate to its role in visuospatial processing.<sup>45</sup> Microstructural changes could reflect microglial activation/neuroinflammation or neurodegeneration seen in these regions.

Involvement of the visual association regions aligns with similar areas of the brain that were previously associated with microstructural changes in DLB.<sup>13,23,46</sup> The basal forebrain, inferior parietal (eg, supramarginal gyrus), and parahippocampal regions are highly interconnected and likely play a role in visual attention, and clinical symptoms of cognitive fluctuations and visual hallucinations.<sup>11,16,46</sup> Microstructural disruptions in the cingulum have been reported in prior studies,<sup>23</sup> and in our study we found early significant FW increases involving the posterior cingulate gyrus. We also detected longitudinal FW changes involving the precuneus at 24 months, though this significant withingroup FW change was not seen when compared with the control group. This suggests the change in the precuneus for DLB may not exceed the variance and mean change of the control group. Characteristically in DLB on FDG-PET/SPECT scans, we expect relative sparing in posterior cingulate regions compared to cuneus/precuneus.<sup>2</sup> Additional study should investigate potential differential microstructural changes in the posterior cingulate versus cuneus/precuneus regions.

Early involvement of the entorhinal cortex aligns with the hypothesis that DLB preferentially affects brain regions with dense cholinergic inputs.<sup>27,47</sup> In a longitudinal structural MRI study following patients

with MCI-LB over a median of 1.3 years, significant atrophy in the nucleus basalis of Meynert was noted at baseline in MCI-LB versus controls, with more longitudinal atrophy including the entorhinal and parahippocampal gyri.<sup>27</sup> Atrophy progression occurred in regions with significant cholinergic innervation and aligned with clinical progression.<sup>27</sup> While we similarly found that FW changes in some ROIs correlated with clinical decline, we caution over-interpretating our exploratory regression analyses given the large numbers of significant ROIs and relatively small sample sizes.

Early FW changes involving the Rolandic operculum is a novel finding. Hypometabolism in the Rolandic operculum may play a role in sleep disturbance and RBD associated with DLB,<sup>48</sup> but more research is needed.

We identified more widespread cortical and subcortical involvement at 24-month follow-up in DLB/MCI-LB, involving the SN, pallidum, putamen, mid cingulum, hippocampus, inferior frontal, superior temporal, and thalamus. Changes in the mid cingulum and inferior frontal regions were significant in both within-group and between-group models. Additional studies should evaluate potential differential microstructural changes within all subregions of the cingulate.<sup>23</sup> Significant FW increases involving inferior frontal regions are consistent with prior fMRI and voxel-based morphometry studies in DLB. Structural changes in these regions may be associated with visuoperceptual impairments and visual hallucinations in DLB.49

Some of the regions with significant FW change at 24 months in the within-group (DLB/MCI-LB) but not between-group (DLB/MCI-LB vs. controls) approaches require further investigation. Basal ganglia and SN had significant FW changes in DLB/MCI-LB, but not when compared to controls. While basal ganglia atrophy is reported in DLB, the association between the degree of atrophy/neurodegeneration in the striatum and clinical parkinsonism is unclear.<sup>50</sup> Dopaminergic cell loss is often seen in the SN of patients with DLB, but this may be variable especially earlier in the disease course with  $\alpha$ -synuclein distribution patterns (eg, brainstempredominant, neocortical, limbic). The FW change we found in SN could be clinically relevant in DLB, as the posterior SN at baseline was associated with MDS-UPRS-III decline over 24 months, suggesting that FW changes prior to the baseline visit may predict long-term motor changes. Lack of hippocampal involvement (based on the between-group analysis) is not surprising, given that preservation of medial temporal regions and hippocampi are indicative biomarkers for DLB.<sup>2</sup> For some patients, hippocampal involvement reflects frequent AD co-pathology in DLB.<sup>51</sup>

This study has several limitations. Cohort sample sizes were modest, and MCI-LB was under-represented.

However, our ability to detect significant diffusion changes with FDR correction even with a smaller cohort at 24 months argues for the robustness of the significant ROIs. Several regions (eg, SN, amygdala, retrosplenial cortex, fusiform) had significant longitudinal FW change in DLB/MCI-LB, but not when comparing DLB against controls, potentially due to age-related effects. Alternatively, the FW changes seen in these regions in DLB did not exceed the variance and mean change of controls. While controls were from a multisite database (ADNI), the same scanner was used for each control at all time points; additionally, we accounted for imaging site as a covariate in our ANCOVA model. We did not have fluid biomarkers or neuropathology to confirm Lewy body disease and evaluate co-pathologies. Future studies could investigate co-occurring cerebrovascular burden and concurrent tau/Aß markers, and include a longitudinal AD cohort for comparison. We used a cognitive screening measure (MoCA) to assess global cognition. More detailed neuropsychological testing could further inform brain regions involved in DLB, though the optimal clinical outcome measures in DLB remain unclear.<sup>52,53</sup> Finally, our current study has limited generalizability due to inclusion of predominantly male, White, non-Hispanic individuals.

In summary, we applied a novel bi-tensor dMRI model to evaluate FW longitudinally in patients with DLB/MCI-LB over two time points. We identified a consistent set of regions associated with microstructural changes involving the insula, amygdala, posterior cingulum, parahippocampal, entorhinal, supramarginal, fusiform, retrosplenial, and Rolandic operculum regions over 12 and 24 months in DLB/MCI-LB. With longer follow-up, we found more widespread microstructural changes in regions implicated in visuospatial processing, motor, and cholinergic functions. Our results support FW imaging as a promising noninvasive and clinically relevant imaging marker that is sensitive to longitudinal disease progression in DLB. Replication in other datasets is needed before FW imaging is used as a biomarker for tracking DLB progression and potentially as a clinical trial endpoint for disease-modifying therapy.

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## Data Availability Statement

This study's data are available in the Parkinson's Disease Biomarker Program (https://pdbp.ninds.nih.gov) and ADNI database (http://adniloni.usc.edu).

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## Supporting Data

Additional Supporting Information may be found in the online version of this article at the publisher's web-site.

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## Author Roles

(1) Research Project: A. Conception, B. Organization, C. Execution; (2) Statistical Analysis: A. Design, B. Execution, C. Review and Critique; (3) Manuscript Preparation: A. Writing of the First Draft, B. Review and Critique.

S.Y.C.: 1A, 1B, 1C, 2A, 2B, 2C, 3A, 3B. R.C.: 1A, 1B, 2A, 2B, 2C, 3B. W.W.: 1A, 1B, 2A, 2B, 2C, 3B. M.J.A.: 2C, 3B. B.F.B: 3B. R.S.: 3B. V.R.: 3B. J.A.F.: 3B. N.G.-R.: 3B. T.J.F.: 3B. K.K.: 2C, 3B. D.E.V.: 1A, 1B, 2A, 2C, 3B.

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